



04-02-02
Off 0

Express Mail No. EU016348867US
Attorney Docket No.: AM-6180

030

Hs

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Edwin Charles Weldon et al.

§ GROUP ART UNIT: Unknown

SERIAL NO.: 10/027,683

§ EXAMINER: Unknown

FILED: December 21, 2001

§

FOR: METHODS OF ROUGHENING
A CERAMIC SURFACE

§ Attorney Docket No.:
§ AM-6180

Date: March 28, 2002

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

**Hon. Commissioner for Patents
Washington, DC 20231**

Sir:

Applicants are submitting the subject Information Disclosure Statement under 37 CFR § 1.97(b)(3). This Information Disclosure Statement is being submitted prior to the receipt of a first Office Action in the subject application.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. EU016348867US in an envelope addressed to the: Commissioner for Patents, Box DD, Washington, DC 20231.

Date: March 28, 2002


Shirley L. Church, Reg. No. 31,858

Applicants do not believe that any fee is due in connection with the filing of this Information Disclosure Statement under 37 CFR § 1.97(b)(3). However, in the event that any additional fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California, in the amount of such fee.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,

Shirley L. Church
Shirley L. Church
Registration No. 31,858
Attorney for Applicants

Correspondence Address:
Patent Counsel
Applied Materials, Inc.
P.O. Box 450-A
Santa Clara, California 95052



Express Mail No. EU016348867US
Attorney Docket No.: AM-6180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Edwin Charles Weldon et al.

§ GROUP ART UNIT: Unknown

SERIAL NO.: 10/027,683

§ EXAMINER: Unknown

FILED: December 21, 2001

§

TITLE: METHODS OF ROUGHENING
A CERAMIC SURFACE

§ Attorney Docket No.:
§ AM-6180

Date: March 28, 2002

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)(3)

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

In accordance with 37 CFR § 1.97(b)(3), applicants hereby request consideration of this Information Disclosure Statement. Applicants are providing herewith copies of the items listed on the attached U.S. Patent and Trademark Office form PTO-1449 equivalent. This Information Disclosure Statement is being submitted prior to the receipt of a first Office Action in the subject application.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. Express Mail No. EU016348867US in an envelope addressed to the: Commissioner for Patents, Box DD, Washington, DC 20231 on March 28, 2002.

Shirley L. Church

Shirley L. Church, Esq., Reg. No. 31,858

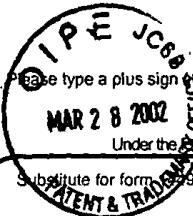
The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior art" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

Respectfully Submitted,



Shirley L. Church, Esq.
Registration No. 31,858
Attorney for Applicant
(408) 245-5109

Correspondence Address:
Patent Counsel
Applied Materials, Inc.
P.O. Box 450 A
Santa Clara, CA 95052



Please type a plus sign (+) inside this box →

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Approved for use in the U.S. Patent and Trademark Office by the U.S. Department of Commerce

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

~~Substitute for form 5-9A/PTO~~

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

She

of

Complete if Known

Application Number	10/027,683
Filing Date	December 21, 2001
First Named Inventor	Edwin Charles Weldon et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	AM-6180

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Subject to a collection of information unless it contains a valid OMB control number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<i>Complete if Known</i>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>MAR 28 2002 (use as many sheets as necessary)</small>		Application Number	10/027,683	
		Filing Date	December 21, 2001	
		First Named Inventor	Edwin Charles Weldon et al.	
		Group Art Unit		
		Examiner Name		
Sheet	of	2	Attorney Docket Number	AM-6180

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.